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CERTIFICATE OF MAILING

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| Typed or Printed Name | Susan M. Alessi | | |
| Signature | Susan M. Alessi | Date | 11/21/01 |

| | | |
|---|----------------------|---|
| <p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT</p> <p>Address to: Commissioner for Patents Washington, D.C. 20231</p> | Attorney Docket | CELL016 |
| | First Named Inventor | De-Chao Yu et al. |
| | Application Number | 09/814,292 |
| | Confirmation No. | 4803 |
| | Filing Date | March 21, 2001 |
| | Group Art Unit | 1643 |
| | Examiner Name | To Be Assigned |
| | Title | Human Urothelial Cell Specific Uroplakin Transcriptional Regulatory Sequences, Vectors Comprising Uroplakin-Specific Transcriptional Regulatory Sequences, and Methods of Use thereof |

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 TECH CENTER 1600/2800

Sir:

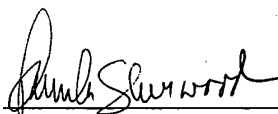
This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-1449 listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815 may be charged therefor.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: Nov. 21, 2001

By: 
Pamela J. Sherwood
Registration No. 36,677

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88/1643 ✓

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Name (Print/Type)

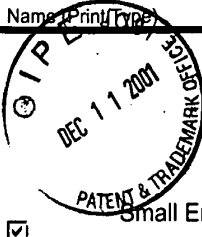
Susan M. Alessi

Signature

Susan M. Alessi

Date

11-21-2001



TRANSMITTAL



Small Entity



Large Entity

Application Number

09/814,292

Confirmation Number

4803

Filing Date

March 21, 2001

First Named Inventor

De-Chao Yu et al.

Examiner

To be assigned

Group Art

1643

Attorney Docket No.

CELL016

ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR §

☐ Pages

Claims

No. of claims as
filed or after
amendment

Most claims
previously
paid for

Extra Claims

Rate

Total

Independent

Multiple

Total Extra Claim Fees

\$



Applicants Petition for an
Extension of time from

to

A month extension was previously filed
and paid for thereby reducing the basic
fee

Fee



Response to File Missing Parts (with copy of formalities letter)

☐ Filing Fee

Fee

☐ Executed Declaration

Pages

Surcharge Fee

☐ Other

Fee

Fee

Fee

Fee

Subtotal \$ -



Information Disclosure Statement

☒ PTO Form 1449

Pages

1

☒ 12 Copies of Cited References

☐ Other

Fee

Subtotal \$ -



Response to Notice to Comply (with copy of Notice to Comply)

☐ Sequence Listing Certification

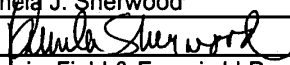
☐ Paper Copy of Sequence Listing

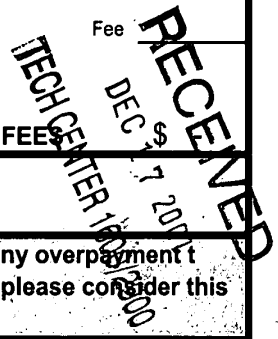
Pages

☐ Diskette in computer-readable format

☐ Other

Fee

| | | | |
|--|---|---------------|---------------------------------|
| <input type="checkbox"/> Terminal Disclaimer | | Fee | |
| <input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group | | | |
| <input type="checkbox"/> Notice of Appeal | Pages | Fee | |
| <input type="checkbox"/> Appeal Brief in Triplicate | Pages | Fee | |
| <input type="checkbox"/> Reply Brief | Pages | Fee \$ - | |
| | | Subtotal \$ - | |
| <input type="checkbox"/> Other Enclosures and/or Fees | | Fee | |
| <input type="checkbox"/> Change of Correspondence Address | | | |
| <input checked="" type="checkbox"/> Return Receipt Postcard | | TOTAL FEES | |
| <p>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</p> | | | |
| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED | | | |
| Name (Print/Type) | Pamela J. Sherwood | | Registration No. 36,677 |
| Signature |  | | Date 11-21-2001 |
| Firm Name | Bozicevic, Field & Francis LLP | Address | 200 Middlefield Road, Suite 200 |
| City | Menlo Park | State | California |
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